

IN THE UNITED STATES PATENT AND TRADEMARK OFFICECommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith for filing is the patent application for inventor(s):

HIDEYOSHI HORIMAI, YASUO SAKANE and KAZUHIKO KIMURA

For: OPTICAL INFORMATION-RECORDING MEDIUM, OPTICAL INFORMATION
RECORDING APPARATUS AND OPTICAL INFORMATION REPRODUCING APPARATUS
INCLUDING OPTICAL INFORMATION-RECORDING MEDIUM AND METHOD FOR
MANUFACTURING POLARIZATION CHANGING LAYER

Also enclosed are:

- ☒ 26 sheets of drawings
- ☐ Certified copy of Japanese Patent Application No. 2002-350464 of December 2, 2002 on which Convention priority is claimed (to follow)
- ☐ Declaration and Power of Attorney (to follow)
- ☒ Preliminary Amendment
- ☐ An assignment of the invention to OPTWARE CORPORATION (to follow)
- ☒ Applicant claims Small Entity Status

CALCULATION OF FEES								
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	15[43]	-20	23	LG=\$18 SM=\$9	\$9	\$ 207	
B	INDEPENDENT CLAIMS FEE*	3	-3	0	LG=\$86 SM=\$43	\$43	\$ 0	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							\$ 207
D	MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$290 SMALL ENTITY FEE = \$145		\$ 145
E	BASIC FEE					LARGE ENTITY FEE = \$770 SMALL ENTITY FEE = \$385		\$ 385
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 737
G	ASSIGNMENT RECORDING FEE						\$ 40	\$ 0
	*LIST INDEPENDENT CLAIMS 1, 7, 9							

- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 11-1445. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$ 737 to cover the filing fee is enclosed.
- ☐ A check in the amount of \$ 40 to cover Assignment Recordation fee is enclosed.

Respectfully submitted,
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